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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/762,226	01/20/2004	Philip C. Gevas	1102865-0031 CON

007470  
 WHITE & CASE LLP  
 PATENT DEPARTMENT  
 1155 AVENUE OF THE AMERICAS  
 NEW YORK, NY 10036



CONFIRMATION NO. 2197

## FORMALITIES LETTER



\*OC000000012534973\*

Date Mailed: 05/06/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/26/2004 HBERHE 00000026 231703 10763226

01 FC:1051 130.00 DA

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

WHITE & CASE LLP  
 PATENT DEPARTMENT

MAY 10 2004

RECEIVED

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

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- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

**SUMMARY OF FEES DUE:**


Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to:    Mail Stop Missing Parts  
   Commissioner for Patents  
   P.O. Box 1450  
   Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Gevas, et al.  
 Serial No. : 10/762,226  
 Filed : January 20, 2004  
 For : IMMUNOLOGICAL METHODS FOR THE  
 TREATMENT OF GASTROINTESTINAL CANCER  
 Examiner : Unassigned  
 Group Art Unit : 1614

<p>First Class Mail</p> <p>I hereby certify that this paper is being deposited with the United States Postal Service "First Class Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to:</p> <p><b>Mail Stop Missing Parts,</b>          Commissioner for Patents,          P.O. Box 1450          Alexandria, VA 22313-1450          on September 23, 2004</p> <p><u>ALGIS ANILIONIS</u>          Name of person mailing paper or fee</p> <p><u>Algis Anilionis</u>          Signature of person mailing paper or fee</p>
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**Mail Stop Missing Parts**  
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**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF NONPROVISIONAL APPLICATION**

Sir:

This submission is made in response to the Notice to File Missing Parts of May 06, 2004 issued in connection with the above-captioned application.

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In compliance with 37 CFR §§1.821-1.825, Applicants enclose herewith a copy of the Sequence Listing submitted with the parent application U.S. Serial No. 08/798,423 filed February 7, 1997 and a computer readable copy of the Sequence Listing.


Applicants state that the content of the Sequence Listing recorded in computer readable form is identical to the paper copy submitted herewith. No new matter has been introduced in this submission.

Applicants respectfully request a two month extension of time for filing a response to the Notice to File Missing Parts issued May 06, 2004.

The Commissioner is hereby authorized to charge the (\$130.00) Late Surcharge fee, the (\$420.00) fee for a two month extension of time, both payable as a LARGE ENTITY, and any additional required fee in connection with this paper to Deposit Account No. 23-1703.

Dated: September 23, 2004

Respectfully submitted,

  
\_\_\_\_\_  
Algis Anilionis, Ph.D.  
Reg. No. 36,995  
Attorney for Applicant(s)

Customer No. 007470  
Direct Dial: (212) 819-8248